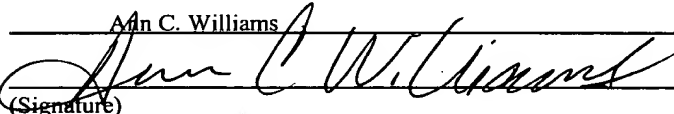


SECTOR #

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 9 January 1998

Ann C. Williams  
  
(Signature)

**PATENT**  
**Attorney Docket No. 17882-706**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	)	
	)	
Richard Wisniewski and	)	Group Art Unit: 3407
Leonidas Cartwright Leonard	)	
	)	
Application No.: 08/895,936	)	Examiner: Not Yet Assigned
	)	
Filed: 17 July 1997	)	
	)	
For: FREEZING AND THAWING VESSEL	)	
WITH THERMAL BRIDGE FORMED	)	
BETWEEN HEAT EXCHANGE	)	
MEMBERS	)	
	)	

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

ATTN: BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application dated 9 December 1997, enclosed please find:

02/02/1998 TWILLIAM 00000020 DAN:232415 08895936 (copy of Notice to be returned with response);  
01 FC:105 130.00 CH  
[ ] a Petition for Extension of Time;

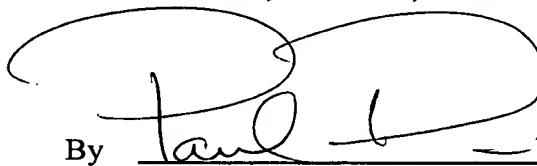
- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 CFR § 1.16(e);
- ☐ a Declaration Claiming Small Entity Status;
- ☒ an Assignment document, Form PTO-1595, and the \$40.00 Assignment Recordation Fee;
- ☐ Revocation & New Power of Attorney by Assignee of Entire Interest;
- ☐ a verified English translation of the Application, and the \$130.00 fee as set forth in 37 CFR § 1.17(k);
- ☒ Other: Request for Corrected Filing Receipt and Redlined Filing Receipt;
- ☐ A check in the amount of \$\_\_\_\_\_.
- ☒ Charge \$170.00 to Deposit Account No. 23-2415 (Docket No. 17882-706).

The Commissioner is hereby authorized to charge any fees that may be required by this paper, and to credit any overpayment, to Deposit Account No. 23-2415 (Docket No. 17882-706). A duplicate of this paper is enclosed.

Respectfully submitted,

WILSON, SONSINI, GOODRICH & ROSATI

By

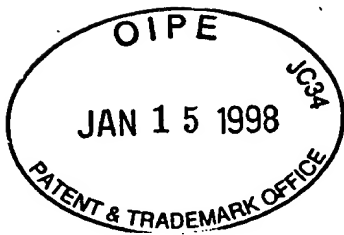


Paul Davis

Registration No. 29,294

650 Page Mill Road  
Palo Alto, CA 94304-1050  
(650) 493-9300

Date: January 9, 1998



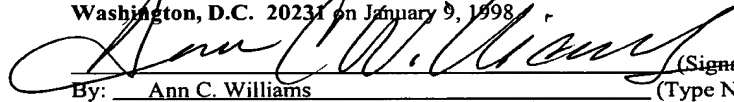
Patent Application  
Attorney's Docket No.: 17882-706

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
Richard Wisniewski and Leonidas Leonard ) Group Art Unit: 3407  
Application No.: 08/895,936 ) Examiner: Not Yet Assigned  
Filed: 17 July 1997 )  
For: FREEZING AND THAWING VESSEL WITH )  
THERMAL BRIDGE FORMED BETWEEN )  
HEAT EXCHANGE MEMBERS )

**CERTIFICATE OF MAILING UNDER 37 C.F.R.  
§ 1.8 - FIRST CLASS MAIL**

I hereby certify that this correspondence is being deposited postage prepaid, with the United States Postal Service as "First Class Mail" in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 9, 1998.

 (Signature)  
By: Ann C. Williams (Type Name)

**REQUEST FOR CORRECTED FILING RECEIPT**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the official Filing Receipt marked in red to identify the corrections needed. The corrections are as follows:

The first inventor name should be Richard Wisniewski not Wisneiewski.

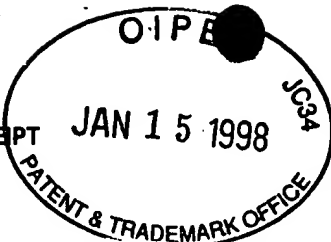
The city and state for Richard Wisniewski should be San Mateo, California.

The city and state for Lonidas Cartwright Leonard should be Walnut Creek, California.

Issuance of a corrected Filing Receipt is respectfully requested.

No fee is due with this communication.

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/895,936	07/17/97	3407	\$1,100.00	17882706	18	35	1

PAUL DAVIS  
WILSON SONSINI GOODRICH AND ROSATI  
650 PAGE MILL ROAD  
PALO ALTO CA 94304

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

*wisniewski*  
RICHARD WISNIEWSKI; LEONIDAS CARTWRIGHT LEONARD.

*San Mateo, California*

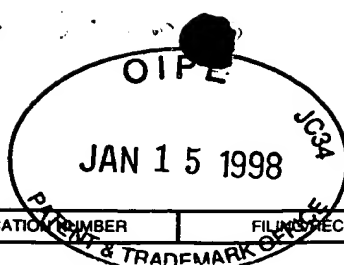
*Walnut Creek,  
California*

FOREIGN FILING LICENSE GRANTED 12/08/97

TITLE

FREEZING AND THAWING VESSEL WITH THERMAL BRIDGE FORMED BETWEEN HEAT  
EXCHANGE MEMBERS

PRELIMINARY CLASS: 165



17382-706  
Integrated Dis. p.  
507  
**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

**If all required items on this form are filed within the period set above, the total amount owed by applicant as a**  
☐ large entity ☐ small entity (verified statement filed), is \$ 130.

☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.  
Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:  
☒ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:  
☐ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

- ☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
*See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."*
- ☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



Creation date: 05-05-2004  
Indexing Officer: GWINTERS - GARRETT WINTERS  
Team: OIPEBackFileIndexing  
Dossier: 08895936

Legal Date: 09-05-2000

No.	Doccode	Number of pages
1	A...	1
2	CLM	1
3	REM	1
4	LET.	2
5	IDS	2
6	NPL	12

Total number of pages: 19

Remarks:

Order of re-scan issued on .....